Seroepidemiology of Dengue, Zika, and Yellow Fever Viruses among Children in the Democratic Republic of the Congo

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Abstract. Flaviviruses such as Zika, dengue, and yellow fever cause epidemics throughout the tropics and account for substantial global morbidity and mortality. Although malaria and other vector-borne diseases have long been appreciated in Africa, flavivirus epidemiology is incompletely understood. Despite the existence of an effective vaccine, yellow fever continues to cause outbreaks and deaths, including at least 42 fatalities in the Democratic Republic of the Congo (DRC) in 2016. Here, we leveraged biospecimens collected as part of the nationally representative 2013–2014 Demographic and Health Survey in the DRC to examine serological evidence of flavivirus infection or vaccination in children aged 6 months to 5 years. Even in this young stratum of the Congolese population, we find evidence of infection by dengue and Zika viruses based on results from enzyme-linked immunosorbent assay and neutralization assay. Surprisingly, there was remarkable discordance between reported yellow fever vaccination status and results of serological assays. The estimated seroprevalences of neutralizing antibodies against each virus are yellow fever, 6.0% (95% confidence interval [CI] = 4.6–7.5%); dengue, 0.4% (0.1–0.9%); and Zika, 0.1% (0.0–0.5%). These results merit targeted, prospective studies to assess effectiveness of yellow fever vaccination programs, determine flavivirus seroprevalence across a broader age range, and investigate how these emerging diseases contribute to the burden of acute febrile illness in the DRC.

INTRODUCTION

Arthropod-borne flaviviruses cause hundreds of millions of infections in humans each year, with manifestations ranging from fever to birth defects, hemorrhage, shock, encephalitis, and even death. The public health importance of this group of pathogens is immense and growing. Dengue virus (DENV) has steadily expanded in both case number and geographical range over recent decades, leading to approximately 400 million infections annually.1 Zika virus (ZIKV) dramatically reemerged in Latin America in 2015, becoming an international disease.9,10 The Democratic Republic of the Congo (DRC) is a large, mostly rural country in central sub-Saharan Africa. Following decades without reports of YFV transmission in the DRC, the virus reemerged at the beginning of the 21st century, and at least 42 deaths were confirmed in the country in 2016 alone after the Angolan outbreak crossed country borders.11,12 These outbreaks occurred despite the YFV vaccine being recommended by the Expanded Program on Immunization since 2003.13

Because of the risk for YFV outbreaks in the DRC and sparse data on other flavivirus infections such as DENV and ZIKV, we conducted a seroepidemiological survey of these three flaviviruses. We tested dried blood spots (DBSs) collected from children during the 2013–2014 Demographic and Health Survey (DHS), a nation-wide, population representative survey, using both enzyme-linked immunosorbent assay (ELISA) and neutralization assays to detect past flavivirus exposure, analyzing the results in relation to geographical distribution and documented yellow fever vaccination history.

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MATERIALS AND METHODS

Subjects and specimens. The DHS program (Rockville, MD) employs household surveys and the collection of blood samples to amass extensive, country-wide health and population data, including information about disease prevalence, vaccination practices, nutrition, and beliefs and behaviors related to the spread of disease. All data and biospecimens are collected with informed consent under Institutional Review Board approval maintained by the Kinshasa School of Public Health. In this study, we accessed de-identified archived DBSs, which were collected between 2013 and 2014 during the second DHS in the DRC. Each DBS was spotted with approximately 80 L of blood collected from participants in their homes during the survey.14 From 9,790 DBSs collected from children aged 6 months to 5 years in representative household clusters, 10% (978) were randomly selected for inclusion in the present study.

Elution of blood from DBSs. Eluted sera and DBSs were stored at -80°C until use. Sera were stored in sealed 96-well plates and DBSs in sealed plastic bags with desiccant. For initial ELISAs, archived eluted sera were used. One 6-mm hole punch of each DBS was previously eluted in 1 mL phosphate-buffered saline, 0.05% w/v Tween 20%, and 5% w/v nonfat dried milk powder, with elution by shaking for 1 hour at room temperature.

For neutralization assays, DBSs were freshly eluted in Dulbecco’s modified Eagle medium (DMEM, Gibco, 11330-032) supplemented with 2% fetal bovine serum (Millipore, TM-013-B), 1% L-Glut (Gibco, 25030-081), 1% Anti-Anti (Gibco, 15240-062), and 1% non-essential amino acids solution (Gibco, 11140-50) at a concentration of 1:20 (one 6-mm hole punch of dried blood per 150 L medium). Sera were eluted by rocking for 2 hours at 37°C and then centrifuged to remove the supernatant from the pelletted filter paper. The sera were heat inactivated for 30 minutes in a 56°C water bath. The samples were centrifuged again to pellet proteinaceous debris and only the supernatant was transferred to a final tube. The eluted sera were stored at 4°C and tested within 3 days.

Virus strains. The following World Health Organization (WHO) reference strains of viruses were used: DENV1, West Pac 74; DENV2, S-16803; DENV3, CH-54389; DENV4, TVP-15240-062), and 1% non-essential amino acids solution (Gibco, 11140-50) at a concentration of 1:20 (one 6-mm hole punch of dried blood per 150 L medium). Sera were eluted by rocking for 2 hours at 37°C and then centrifuged to remove the supernatant from the pelletted filter paper. The sera were heat inactivated for 30 minutes in a 56°C water bath. The samples were centrifuged again to pellet proteinaceous debris and only the supernatant was transferred to a final tube. The eluted sera were stored at 4°C and tested within 3 days.

ELISA. As described previously, each of the serum samples was tested for flavivirus-binding antibodies in three separate immunoglobulin G (IgG) antigen-capture ELISAs to the following antigens: DENV serotypes 1–4 mix, ZIKV, and YFV.15 ELISA plates were coated overnight at 4°C with 100 ng of the mouse mAb 4G2 (ATCC, HB-112). Each well was washed with tris-buffered saline containing 0.2% v/v Tween and then blocked for 2 hours at 37°C with blocking buffer containing 3% w/v nonfat dry milk. Viral antigens were captured for 1 hour at 37°C and ELISA plates were washed. Fifty microliters of eluted sera were added in singlicate to the ELISA plate and incubated for 1 hour at 37°C. The plates were washed and alkaline phosphatase-conjugated goat anti-human IgG (A9544; Sigma, St. Louis, MO) was added and incubated for an additional hour at 37°C and then washed again before the addition of p-nitrophenyl phosphate substrate. Absorbance was measured at 405 nm on an Epoch microplate spectrophotometer. Readings were taken every 5 minutes for 25 minutes. A positive control (serum from an individual with known previous exposure to the virus) and normal human serum (NHS) negative control were included in duplicate on each plate. The last plate reading at which the difference between the positive control optical density (OD) and negative control OD was less than 1.5 (DENV and ZIKV) or less than 0.5 (YFV) was analyzed. Because the NHS control often exhibited higher background than many of the test samples, the background signal for each plate was defined as the average of the eight lowest ODs from the test samples on the plate. The threshold OD for positives was defined as the mean of the eight lowest ODs + 3 standard deviations + 0.1 (DENV and ZIKV) or + 0.05 (YFV).16,17 The correction factor of 0.1 was reduced to 0.05 for the YFV assay because the OD value exhibited by the positive control and the general distribution of OD values on each plate were consistently approximately 50% of those in the DENV assay.

Micro-neutralization assay. A standard focus reduction neutralization test (FRNT) was modified to accommodate limited specimen availability.15 Briefly, four serial 3-fold dilutions were made of each serum sample and mixed with equal volume of virus at a concentration of ~40–140 focus-forming units, incubated for 1 hour at 37°C, and then transferred to 96-well plates seeded with Vero-81 cells. After 1 hour, overlay medium was added and the infected cells were incubated for 40 hours (ZIKV), 48 hours (DENV2 and DENV4), or 51–52 hours (DENV1, DENV3, and YFV) at 37°C. The cells were fixed with 4% paraformaldehyde for 30 minutes and then permeabilization buffer was added for 10 minutes followed by blocking buffer (3% normal goat serum) and left overnight at 4°C. A mixture of primary antibodies 4G2 and 2H2 (ATCC, HB-114) were added to the plates and incubated for 1 hour at 37°C. The cells were washed followed by the addition of goat antimouse secondary antibody conjugated with horseradish peroxidase (Kirkegaard and Perry Laboratories, 074-1806) for 1 hour at 37°C. The plates were washed again and then foci were stained with TrueBlue (Kirkegaard and Perry Laboratories, 5510-0030) and counted. Two NHS controls were included on every plate to define 100% infection. Sera with > 50% neutralization at the first dilution point were further analyzed in Prism 7 (GraphPad, La Jolla, CA, www.graphpad.com). Focus reduction neutralization test 50 values (the serum dilution effecting a 50% reduction in virus infection) were determined by a nonlinear regression model using the sigmoidal dose response (variable slope) equation. Because of some nonspecific inhibition of infection by many ELISA-negative samples and negative controls, an FRNT50 < 100 was considered background and sera with FRNT50 > 100 were considered positive for neutralizing antibodies.

Data visualization and mapping. Euler diagrams were constructed using EulerAPE v3.0 and Venn diagrams using BioVenn.18,19 Episheet was used to calculate exact confidence intervals (CIs).20 Samples were linked to their geographical location using the DRC 2013–2014 Global Positioning System dataset from the DHS, and ArcGIS 10.4 was used to map the data and examine spatial patterns.

RESULTS

All 978 serum samples were tested by ELISA and a subset of ELISA-positive and ELISA-negative sera were tested by neutralization assay (stratified by virus, Figure 1; across all viruses tested, Supplemental Figure 1).

Of the 978 sera tested, 183 (18.7%) were positive for binding antibodies to one or more of the three flaviviruses tested. One hundred and sixty-seven (17.1%) reacted with
YFV, 34 (3.5%) with ZIKV, and 37 (3.8%) with DENV. Of the ELISA-positive samples, 43 (23.5%) had antibodies that reacted with more than one of the viruses tested (Figure 2), which could be due to antibody cross-reactivity among flaviviruses or prior infection by or vaccination against more than one virus. The numbers (and percent of total ELISA-positive specimens) uniquely positive for YFV, DENV, or ZIKV were 129 (70.5%), five (2.7%), and six (3.3%), respectively.

To address the risk of false-positive ELISA results due to cross-reactive antibodies, we tested samples from a subset of subjects for the presence of neutralizing antibodies. Subjects were selected for neutralization testing based on sample availability, initial ELISA results, and YFV vaccine status, with the goal of testing both ELISA-positive and ELISA-negative and YFV-vaccinated and YFV-unvaccinated subjects. Of note, sera were not randomly selected for YFV neutralization testing; rather, sera with the most remaining sample and/or highest ELISA ODs were preferentially tested. Because sample volume was limiting, a modified FRNT approach was used (see Materials and Methods).

Few samples were neutralization positive for ZIKV or DENV (Figure 1 and Table 1). Of 32 DENV ELISA-positive sera tested by neutralization assay, three (9.4%) were positive for neutralizing antibodies against DENV; of 21 DENV ELISA-negative sera tested, none were positive for neutralizing antibodies against DENV; of 31 ZIKV ELISA-positive sera tested, one (3.2%) was positive for neutralizing antibodies against ZIKV; and of 33 ZIKV ELISA-negative sera tested, none were positive for neutralizing antibodies against ZIKV (Figure 1).

One of the specimens exhibited neutralization against DENV1, DENV2, and DENV3. This is likely due to two or more past DENV infections or cross-neutralizing antibodies from a recent primary infection. A second specimen neutralized DENV2 and YFV, and a third neutralized only DENV1. The ZIKV neutralization-positive specimen did not exhibit cross-neutralizing antibodies (Table 1).
More subjects were positive for YFV-neutralizing antibodies (Figure 1). Of 92 YFV ELISA-positive and 139 ELISA-negative sera tested by neutralization assay, 29 (31.5%) and one (0.7%) were positive for neutralizing antibodies against YFV, respectively. The single YFV ELISA-negative subject with detectable neutralizing antibodies reported a history of YFV vaccination.

Based on the number of subjects with neutralizing antibodies in each subset of ELISA-positive and ELISA-negative sera tested for each virus, we can approximate the seroprevalences in the whole cohort to be as follows: YFV, 6.0% (95% CI = 4.6–7.5%); DENV, 0.4% (0.1–0.9%); and ZIKV, 0.1% (0.0–0.5%).

Overall, YFV ELISA and neutralization results correlated poorly with reported vaccination history (Figure 3). Among the 705 subjects with known vaccination history, 418 reported receiving YFV vaccination but only 77 of these (18.4%) were positive by ELISA (Figure 3A). In the subset of 178 sera from children with known vaccination history that also underwent neutralization testing, 134 received vaccination, but only 20 of these (14.9%) had neutralizing antibodies (Figure 3B). Similar proportions of YFV-unvaccinated individuals were found to be ELISA positive (34 of 287, 11.8%; Figure 3A) and have neutralizing antibodies (6 of 44, 13.6%; Figure 3B). Of note, 29 of 30 (96.7%) of specimens testing positive for YFV neutralization antibodies were also ELISA positive, which lends credence to the laboratory methods used here (Figure 3C).

To confirm the discordance between reported vaccination and YFV serology results, we compared the FRNT50 values of 110 sera tested by neutralization assay from children who either had vaccine cards that confirmed YFV vaccine administration or reported not receiving the vaccine. This excludes children whose mothers affirmed YFV vaccination history status but lacked vaccine cards so as to minimize the impact of recall bias on our findings. There was no difference in the distributions of FRNT50 values between the two groups (Mann–Whitney test, P = 0.3107; Supplemental Figure 2).

Results from both the ELISA and neutralization assay were spatially mapped by DHS sampling location to visualize the geographical distribution of flavivirus seropositivity across the DRC. There was no spatial clustering of ELISA-positive samples (Figure 4A). Samples with neutralizing antibodies against any of the three flaviviruses were observed more frequently near the border regions of the country (Figure 4B), although there was no significant spatial clustering according to the Moran’s I test of spatial autocorrelation. In addition, the locations of children who tested positive for yellow fever binding or neutralizing antibodies were compared with the locations of yellow fever outbreaks reported by WHO in the 5 years preceding the DHS (Figure 4C). There was no clear spatial relationship between yellow fever–positive samples and known yellow fever outbreaks; however, clusters of children with neutralizing antibodies against yellow fever are seen along the border with Rwanda and near the capital city of Kinshasa. These clusters could be sites of undetected outbreaks.

### Table 1

<table>
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<th>Sample ID</th>
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<th>Neutralization positive</th>
<th>Neutralization negative</th>
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<tr>
<td>4787</td>
<td>ZIKV</td>
<td>ZIKV</td>
<td>YFV</td>
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</tbody>
</table>

ELISA = enzyme-linked immunosorbent assay; DENV = dengue virus; YFV = yellow fever virus; ZIKV = Zika virus.

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**Figure 3.** Overlap between yellow fever virus enzyme-linked immunosorbent assay (ELISA) results, neutralization assay results, and reported vaccination status. The number of children with each condition or combination of conditions is shown in the corresponding section (reported yellow fever vaccination = gray, ELISA positivity = green, and neutralizing antibodies = blue). The number of children negative for all conditions is shown in the bottom left of each panel. (A) Yellow fever vaccination history vs. ELISA positivity and includes all children with known vaccination history. (B) Yellow fever vaccination history vs. neutralization testing results and includes all children with both known vaccination history and neutralization testing results. (C) ELISA positivity vs. neutralization assay results and includes all children with neutralization testing results. This figure appears in color at www.ajtmh.org.
represent areas with higher vaccine coverage, or higher seroconversion following vaccination.

**DISCUSSION**

This study provides evidence that flaviviruses, including DENV, ZIKV, and YFV are circulating in the DRC and suggests a lack of seroconversion in many Congolese children with histories of vaccination against YFV. To our knowledge, this study presents the first evidence of ZIKV in the DRC. Neutralizing antibodies against ZIKV were only reported in a single sample, and this sample was not tested by neutralization assay against YFV and DENV (although it failed to react to these viruses when tested by ELISA); therefore, this finding remains inconclusive and should be confirmed in future studies. Our data confirm previous reports that DENV is present in the DRC at a prevalence < 1% in children younger than 5 years. The prevalence of malaria parasites reported in the same age range is around 38%, suggesting that malaria continues to be a more common cause of febrile illness in the DRC.24

The detection of antibodies against ZIKV is not surprising, given that the virus was originally isolated in Uganda and has been reported in many countries bordering the DRC.5 Dengue virus was known to have a presence in the DRC based on sporadic detection of virus or antibodies in the Congolese population, returning travelers, and wild game animals.6,25,26 Our data further indicate that DENV serotypes 1 and 2 are co-circulating and suggest the virus is not localized to any one area of the country. In dengue-hyperendemic areas of Asia and Latin America, seroprevalence in children younger than 5 years ranges from 34% to 60%, and well over half of children have been exposed by age 10.16,27–29 Although few data are available for ZIKV seroprevalence in other countries in this age group, we can reasonably assume that children living in urban areas of Latin America and Asia where ZIKV has recently emerged have had ample opportunity for infection. Seroprevalence among schoolchildren aged 6–16 years was 66% in an area of French Polynesia in 2014–2015.30 In Bolivia, where the virus arrived as recently as 2016, seroprevalence in adults in some regions already reaches around 20–40%.31 We hypothesize that DENV and ZIKV infections in the DRC are likely sporadic spillover events, a distinct ecology from the urban cycle that drives high transmission of these viruses in other countries. If so, children would have little opportunity for exposure, but seroprevalence may be significantly higher in other population subgroups (e.g., men working near heavily forested areas who are at risk of exposure to the sylvatic cycle). A better understanding of flavivirus epidemiology in countries such as the DRC is needed to optimize surveillance, identify populations most at risk, and improve diagnosis and treatment of non-malarial febrile illness. More generally, the myriad etiologies of acute febrile illness in developing countries is increasingly appreciated, and enhanced detection of flaviviruses will ideally be but one component of a greater effort to improve diagnostic laboratory capacity.32

For all three flaviviruses tested, there were a large number of sera positive by ELISA but negative by neutralization assay. This raises the possibility that other closely related flaviviruses are present in the DRC. A recent study detected flavivirus nucleic acids, but not DENV or YFV, in mosquitoes in Kinshasa.33 At least 10 other flaviviruses have been reported in Africa.34 This combined with the results reported here suggests that the diversity of flaviviruses circulating in the DRC may be underappreciated. In addition, infection with malaria can also cause false-positive serologic test results for a number of pathogens as recently reiterated in reports of false-positive ZIKV testing with malaria infection.35,36

Maternal antibodies in the sera of the youngest subjects in this study may explain a small proportion of the positive ELISA and neutralization results. Anti-DENV maternal antibodies begin to decay substantially by 6 months of age and completely disappear in most children by 12 months.37–39 Previous studies have reported that maternal antibodies fall to sub-neutralizing levels during their decay and potentially become disease enhancing.40,41 The presence of binding, non-neutralizing maternal antibodies may explain some of the lack of correlation between the ELISA and neutralization results reported here.
A striking finding of our study was the discovery that most children reported to have received the YFV vaccine failed to show evidence of seroconversion. This finding held true even when only children with vaccine cards were included in the analysis (i.e., excluding children whose vaccine history is only verbally reported by their mothers without a vaccine card), making misreporting an unlikely explanation. This phenomenon is worrisome and without precedent in the literature. The YFV vaccine is highly immunogenic, and both the WHO and the U.S. Centers for Disease Control and Prevention recently concluded that a single dose of the vaccine is sufficient for lifelong immunity in most populations, although this statement is not universally accepted.42–44 There is some evidence that children are less likely to seroconvert than adults; however, the rates of seroconversion in children are nevertheless consistently reported to be above 80%.44–49

Duration of immunity in children receiving the YFV vaccine must also be considered in addition to early convalescent seroconversion; but unfortunately, data to that point are notably deficient in the literature over the past half century. Moreover, it has been suggested that a proportion of children lose seropositivity following vaccination, which could be consistent with our findings.50,51 However, the very low seroconversion rate observed in the present study may not be entirely attributable to the young age of vaccinees. A second possible explanation is that coadministration of the YFV vaccine with another live-virus vaccine is contributing to lower seroconversion. Several studies have investigated decreased immunogenicity of the YFV vaccine because of interference by coadministration of the measles vaccine, with conflicting results.52–54 Notably, the vast majority of children in the present study who had dated vaccination cards received the YFV vaccine on the same day as the measles vaccine.

The high prevalence of malnutrition in children in the DRC could also be contributing to impaired immune responses to the vaccine. In the 2013–2014 DHS, 43% of children surveyed were chronically malnourished.55 Malnutrition has been reported to variably diminish antibody production following a number of vaccinations, and studies in the 1960s specifically detected an impaired immune response to the YFV vaccine in children with protein malnutrition.56–58

It is also possible that the sensitivity of the ELISA and neutralization assays performed in this study were insufficient to detect low levels of antibodies that are sufficient for protection, although the vaccine is generally thought to evoke high neutralizing antibody titers, well over the limits of detection of the assays used in the present study.60 It should be noted that there is no established correlate of protection against yellow fever in humans; therefore, neither an ELISA nor a neutralization assay can definitively indicate who is and is not immune to disease caused by yellow fever infection following vaccination.42

The fact that the DRC continues to experience major yellow fever outbreaks indicates that a substantial portion of the Congolese population remains susceptible to infection despite renewed efforts to integrate the YFV vaccine into routine childhood vaccination programs.11 The issue with the vaccine may be as much programmatic as biological, that is, due to difficulties in accessing remote areas, poor surveillance, and/or suboptimal transport and storage of the vaccine. Prospective studies to evaluate seroconversion following vaccination and monitor for vaccine failures could bolster public health initiatives to protect the Congolese population from yellow fever. Strengths of this study include the use of specimens from a nationally representative survey, which allowed for the estimation of country-wide prevalence and access to geographic location and vaccine history linked to each sample. The study was limited by sample availability, which only allowed for testing samples in singlicate and prohibited neutralization testing of some ELISA-positive sera; selection bias in which sera were tested by neutralization assay; recall bias in reporting vaccination history; testing of a single age group; and potentially low sensitivity of the YFV ELISA assay based on modest OD values exhibited by the positive controls.

Overall, the results presented here suggest that flavivirus epidemiology in the DRC and throughout Africa warrants further study. Additional research is required to corroborate and investigate the reasons for the low rates of seroconversion observed in vaccinated Congolese children. Moreover, future surveillance undertakings should investigate flavivirus seroprevalence across a larger population of all ages. Distinct studies should explore flavivirus infection as an etiology of acute febrile illness, especially in patients who test negative for malaria. These combined activities will characterize the health burden of flavivirus infections in the DRC and improve standard practices for their diagnosis and treatment. This type of study would also facilitate the isolation, sequencing, and phylotyping of wild-type virus, which would improve knowledge of the history and evolution of these viruses on the African continent. Ultimately, a better understanding of global flavivirus epidemiology will also help to identify populations at highest risk for these pathogens with epidemic potential and provide early opportunities for their detection and prevention.

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Note: Supplemental figures appear at www.ajtmh.org.

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