Reemergence of *Anopheles funestus* as a Vector of *Plasmodium falciparum* in Western Kenya after Long-Term Implementation of Insecticide-Treated Bed Nets

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Abstract. Historically, the malaria vectors in western Kenya have been *Anopheles funestus*, *Anopheles gambiae* s.s., and *Anopheles arabiensis*. Of these species, *An. funestus* populations declined the most after the introduction of insecticide-treated bed nets (ITNs) in the 1990s in Asembo, and collections of *An. funestus* in the region remained low until at least 2008. Contrary to findings during the early years of ITN use in Asembo, the majority of the *Anopheles* collected here in 2010 and 2011 were *An. funestus*. Female *An. funestus* had characteristically high *Plasmodium falciparum* sporozoite rates and showed nearly 100% anthropophily. Female *An. funestus* were found more often indoors than outdoors and had relatively low mortality rates during insecticide bioassays. Together, these results are of serious concern for public health in the region, indicating that *An. funestus* may once again be contributing significantly to the transmission of malaria in this region despite the widespread use of ITNs/long-lasting insecticidal nets (LLINs).

INTRODUCTION

Significant regional reductions in malaria-related morbidity and mortality have occurred globally since 2001, but these outcomes may reverse if lessons from the past are not heeded. The Global Malaria Eradication Program (GMEP) of the 1950–60s succeeded in eliminating malaria from many countries and reducing malaria vector populations and malaria transmission substantially in others where elimination was not achieved. Unfortunately, resurgences in vector populations and transmission occurred in many of these countries, likely caused by a combination of reductions in program funding, economic and political changes, reintroductions of the malaria parasite by movement of people, development of drug resistance in malaria parasites, and evolution of insecticide resistance in vector populations. As the global health community strives once again toward the goal of malaria elimination and eradication, it is therefore vital to quantify the role of *An. arabiensis* in malaria transmission or malaria vector population size.

In western Kenya, malaria continues to cause significant morbidity and mortality despite public health efforts to reduce malaria prevalence. These efforts include the widespread distribution of long-lasting insecticidal nets (LLINs), which target indoor biting malaria vectors. Although high community-level coverage with LLINs reduces morbidity and mortality caused by malaria in the short term through impacts on malaria vector populations, the long-term effectiveness of this intervention depends, in part, on whether insecticide resistance evolves in vector populations.

Historically, the primary malaria vector mosquito species in western Kenya were *Anopheles gambiae* sensu stricto (s.s.) and *Anopheles funestus*. *Anopheles arabiensis* was historically considered a secondary malaria vector in the region, though the role of *An. arabiensis* in malaria transmission has become increasingly important in the last decade as conventional insecticide-treated bed nets (ITNs) and LLINs have reduced the abundance of the other vector species. Following the introduction of ITNs in the Asembo region of western Kenya in 1997 during a large-scale, randomized trial, the population of *An. funestus* declined the most of these three species. The population of *An. funestus* remained low for several years after the trial ended and as ITNs were distributed nationally.

In Kenya resistance to the pyrethroid insecticides used in ITNs has been reported largely in *An. gambiae* sensu lato (s.l.), a complex of at least eight closely related species including *An. gambiae* s.s. and *An. arabiensis*. There are few published studies on insecticide resistance in *An. funestus* from Kenya, although reports of resistance to pyrethroids, carbamates, and DDT in *An. funestus* from other regions of Africa have increased over the last decade. Notably, pyrethroid resistance in *An. funestus* was associated with an increase in malaria cases in South Africa in the 1990s.

The purpose of this study was several-fold. First, we investigated relative abundances, human biting rates, and malaria infection rates of *An. funestus* and other malaria vectors in the same western Kenya region (Asembo) where vector abundances had decreased previously as a result of ITN distribution programs, to determine if vector abundances and malaria transmission remained similarly low or had increased despite continued high coverage of ITNs and LLINs. Additionally, the sensitivity of the vector populations to pyrethroid insecticides in ITNs and LLINs was measured through standardized bioassays to investigate the possibility that pyrethroid resistance could explain changes in the malaria vector populations.

METHODS

Study site. Adult *Anopheles* mosquitoes were sampled in the Asembo region of Rarieda District (Figure 1). Numerous studies of malaria vectors have been conducted here since the 1970s, and the region has been described in detail. Malaria is holoendemic in Asembo and is caused chiefly by *Plasmodium falciparum*. Rainfall occurs year-round but is seasonally bimodal, with peaks occurring from March through
May and in November and December. Mosquito sampling intervals for the indoor resting and human landing studies in 2010 and 2011 (below) were chosen to coincide with seasonal peaks of *Anopheles* populations, which occur after the March–May rainy season.26,11,22

Asembo was the site of a randomized, controlled trial of ITNs from 1997 to 1999.20 Following the trial, ITNs were distributed to control villages, and the ITNs were retreated every 6 to 9 months with permethrin until 2002, and then with alphacypermethrin until 2007.6,13 This program in Asembo covered a population of ~55,000 persons as of 1997,20 which grew to 66,727 by 2011 (Odhambo F, personal communication). Nationwide, ITNs became available at partially subsidized rates through health clinics in 2004.23 Furthermore, the Kenya Division of Malaria Control provided LLINs in mass distribution campaigns in 2006, targeting children < 5 years of age, and in June 2011, targeting universal coverage (one LLIN for every two people).24 The primary LLIN brands used in Asembo were PermaNet (Vestergaard Frandsen SA, Aarhus, Denmark) and Olyset (Sumitomo Chemicals, Osaka, Japan). No other vector control intervention, such as indoor residual spraying (IRS) or larval control, has been conducted at scale in Asembo over the last 20 years.

**Sampling the indoor resting populations.** Indoor-resting *Anopheles* were sampled from 14 to 18 June 2010 and 16 May to 24 June 2011 using the pyrethrum spray catch (PSC) method as described by Gimnig and colleagues.12 Sampling was done in 416 houses across an area of 15 km2 in 2010 and in 806 houses across an area of 100 km2 in 2011, and each house was sampled once. Differences between years were examined to determine whether grouping the data across the two sampling periods was appropriate, with analyses performed using R version 2.14.2 (R Development Core Team, Vienna, Austria). Differences between years in the number collected per house within each *Anopheles* species within each sex were determined using non-parametric Mann-Whitney tests. Differences between years within each *Anopheles* species in the proportions of blood meals from humans and cattle, and in the ELISA positivity rate (see below), were determined using Fisher’s exact test.

**Sampling the human landing populations.** Host-seeking *Anopheles* were sampled indoors and outdoors in 75 villages (150 houses) covering about 200 km2 from 13 June to 22 July 2011 using the human landing collection method (HLC) as described by Gimnig and colleagues.21 Local adult men were trained as collectors and organized into 38 teams of four persons from two neighboring villages with the exception of one team that consisted of only two collectors. Each team rotated among four collection sites, sampling for 4 nights every week. Collectors working outdoors were given discretion to stop collections during rainfall, indicating any hour for which collections were stopped because of rain. Collectors working indoors were instructed to continue regardless of rainfall. All collectors were provided atovaquone proguanil as malaria prophylaxis during the sampling period and for 7 days after the last night of collection.

**Historical comparison.** *Anopheles* females were sampled weekly in 19 villages in southern Asembo from 1993 through September 1997 using the bed net trap (BNT) sampling method. From December 1996 to September of 1997, collections were done in 10 of the villages as the remaining villages had received ITNs as part of the large-scale trial. The BNTs consisted of untreated nets hung above the sleeping spaces of volunteers so that the bottom edge of the net was ~6 cm above the bed. Mosquitoes that fed on the sleeper and remained resting inside the net were collected the following morning using mouth aspirators. The sleepers were not at an additional risk for malaria during BNT sampling as ITNs were not the standard of care at the time.

To calibrate the BNT to the HLC, we calculated the collection rate of BNT relative to HLC using BNT and HLC samples done from 1 Nov 1992 to 1 May 1993. *Anopheles* females were sampled weekly from a pool of 91 houses in 15 villages covering about 70 km2 in Asembo. The HLC and BNT were done in the same houses, with HLC always done 1–3 nights after BNT for a given house. The collection rate of BNT relative to HLC was calculated using a generalized linear model with a log link function and negative binomial error distribution. To account for repeated measures within houses, an autoregressive correlation structure was used, allowing for correlation between collections to decrease with increasing time. Analyses were performed using PROC GENMOD in SAS version 9.2 (SAS Institute, Cary, NC).

From 2002 through 2008, *Anopheles* females were sampled monthly using Centers for Disease Control and Prevention (CDC) light traps (LT). Light traps were set inside houses next to persons sleeping under their own ITNs. Each person was instructed to turn on the trap just before they went to sleep, and mosquitoes were collected from traps in the morning. The traps were set in 30–60 houses each month and run for two consecutive nights in each house.

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**Figure 1.** (A) Map of Kenya with box indicating location of the study region in Nyanza Province. (B) Map showing the location of Asembo on the shores of Lake Victoria, about 50 km west of the city of Kisumu.
Collection rates of LT relative to HLC from Wong and colleagues25 were used to compare the LT data from 2002 through 2008 to the HLC data from 2011. Collection rates of BNT relative to HLC, described previously, were used to compare the BNT data from 1993 through 1997 to the HLC data from 2011. Only data from June and July of each year of BNT and LT sampling were used in the comparisons among years to avoid any potential bias caused by seasonal variation in the abundance of either An. gambiae s.l. or An. funestus.

Mosquito identification, sporozoite rates, and blood meal analysis. All Anopheles were morphologically identified to species according to Gillies and Coetzee.26 Specimens from PSC and HLC sampling identified morphologically as part of the An. gambiae s.l. species complex were further differentiated to the species level by polymerase chain reaction (PCR) using primers specific to Anopheles gambiae s.s. and An. arabiensis.27 All female Anopheles collected during PSC and HLC were separated at the line of the thorax and abdomen to allow for separate diagnostic tests on each specimen.

**Table 1**

Mean number of *Anopheles* collected per house during pyrethrum spray catch sampling shown by species, sex, and year*

<table>
<thead>
<tr>
<th>Species</th>
<th>2010 Females</th>
<th>2011 Females</th>
<th>P value</th>
<th>2010 Males</th>
<th>2011 Males</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>An. funestus</em></td>
<td>2.303 (75.2%)</td>
<td>0.293 (37.9%)</td>
<td>&lt;0.001</td>
<td>1.327 (80.0%)</td>
<td>0.231 (54.2%)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td><em>An. arabiensis</em></td>
<td>0.498 (16.2%)</td>
<td>0.285 (37.0%)</td>
<td>0.007</td>
<td>0.127 (7.7%)</td>
<td>0.104 (24.5%)</td>
<td>0.374</td>
</tr>
<tr>
<td>An. gambiae s.s.</td>
<td>0.099 (3.2%)</td>
<td>0.122 (15.8%)</td>
<td>0.230</td>
<td>0.149 (9.0%)</td>
<td>0.083 (19.5%)</td>
<td>0.052</td>
</tr>
<tr>
<td>An. gambiae s.l.</td>
<td>0.163 (5.3%)</td>
<td>0.066 (8.5%)</td>
<td>&lt;0.001</td>
<td>0.055 (3.3%)</td>
<td>0.002 (0.6%)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>An. rufipes</td>
<td>0.000 (0.0%)</td>
<td>0.006 (0.8%)</td>
<td>NA†</td>
<td>0.000 (0.0%)</td>
<td>0.005 (1.2%)</td>
<td>NA‡</td>
</tr>
</tbody>
</table>

*Percentages of the total for each column are shown in parentheses. P values are from non-parametric Mann-Whitney tests to determine differences between years for each species within each sex.
†These specimens were identified as members of the *Anopheles gambiae* species complex according to morphological methods but could not be further differentiated by polymerase chain reaction.
‡NA = not applicable.

Infected female anopheline species were tested for *P. falciparum* using the protocol30 using the ELISA reagent kit (MRA-890, MR4, ATCC, Manassas, VA) carried out following the World Health Organization (WHO) protocol.30 Anopheles gambiae s.l. specimens were successfully differentiated into *An. gambiae* s.s. and *An. arabiensis*, whereas PCR amplification failed or was not done in 146 *An. gambiae* s.l. specimens. The number of *Anopheles* collected per house differed between the two PSC sampling periods, though *An. funestus* made up a large proportion of May through October 2012. Only unfed females not injured during collections were retained for bioassays. These mosquitoes were held for 1 h with access to 10% sugar solution, and then exposed in the field to insecticide-impregnated filter paper for 1 h. Following exposure the mosquitoes were transferred to a clean holding tube with 10% sugar solution, and mortality was determined 24 h later. The mosquitoes were exposed to the pyrethroids permethrin (at a concentration of 0.75%) and deltamethrin (0.05%), and the carbamate bendiocarb (0.01%).

**Ethical approval.** This work was approved by the Institutional Review Boards of the U.S. Centers for Disease Control and Prevention and Michigan State University and by the Ethical Review Committee of the Kenya Medical Research Institute.

**RESULTS**

**Indoor resting samples.** Totals of 1,897 *Anopheles* females and 1,033 *Anopheles* males were collected indoors during PSC sampling, and >99% of the *Anopheles* collected was identified morphologically as either *An. funestus* or *An. gambiae* s.l. The only other anopheline species found indoors during PSC sampling was *Anopheles rufipes* (Table 1). A total of 830 (85%) of the *An. gambiae* s.l. specimens were successfully differentiated into *An. gambiae* s.s. and *An. arabiensis*, whereas PCR amplification failed or was not done in 146 *An. gambiae* s.l. specimens. The number of *Anopheles* collected per house differed between the two PSC sampling periods, though *An. funestus* made up a large proportion of

**Figure 2.** Proportion of blood meals taken from humans and cattle by *Anopheles* species collected during pyrethrum spray catch in Asembo. Non-amplifiers to polymerase chain reaction for blood meal identification are not shown (29%). *Data pooled across years for *Anopheles funestus* because the proportions of blood meals from humans and cattle did not differ between years (Fisher’s exact test, *P = 1.00*).
the Anopheles collected in both years (Table 1). In 2010 three-quarters (75.2%) of all female Anopheles collected were An. funestus, and in 2011 most of the female Anopheles collected were either An. funestus (37.9%) or An. arabiensis (37.0%).

Blood meal hosts were identified in 946 of the 1,328 fed or half-gravid Anopheles females collected during PSC (Figure 2). The proportions of blood meals from humans and cattle in An. funestus did not differ between years (Fisher’s exact test, \( P = 1.00 \)). Nearly all of the 715 An. funestus blood meal hosts identified were from humans, whereas 2.5% were from cattle. The proportions of blood meals from humans and cattle in An. gambiae and An. arabiensis differed between years (Fisher’s exact test, \( P = 0.017 \) and \( P = 0.037 \), respectively). In 2010, 75.7% of the 33 An. gambiae s.s. blood meals and 51.3% of the 115 An. arabiensis blood meals identified were from humans. In 2011, 94.5% of the 55 An. gambiae s.s. blood meals and 35.6% of the 73 An. arabiensis blood meals identified were from humans. The remaining blood meals in both species from both years were from cattle, except for a total of five blood meals from goats in An. arabiensis.

With the exception of An. rufipes, a proportion of individuals of all Anopheles species collected during PSC sampling were positive by ELISA for *P. falciparum* sporozoite infection, but the percentage positive varied by mosquito species (Table 2). The ELISA-positivity rate varied between years only for An. funestus (3.4% in 2010 and 8.9% in 2011, \( P < 0.001 \)).

The highest rate across both years was in An. gambiae s.s., and the lowest rate was in An. arabiensis.

**Human landing samples.** The HLC sampling was done indoors for a total of 888 collector-nights (12,432 collector-hours) and outdoors for 889.4 collector-nights (12,451 collector-hours). During HLC sampling, 1,936 female Anopheles were collected. Greater than 98% of these were morphologically identified as either An. funestus or An. gambiae s.s., whereas the remaining specimens were Anopheles coustani and An. rufipes. A total of 380 (71%) of the *Anopheles* species complex specimens were differentiated into *An. gambiae* s.s. (43%) and *An. arabiensis* (28%), although PCR amplification failed in 159 (29%) of the specimens. Most of the *Anopheles* females collected during HLC sampling were An. funestus, and more females of each species were collected indoors than outdoors (Figure 3). Similar to specimens from PSC sampling, ELISA-positivity rates varied among the three malaria vector species during HLC sampling. Overall ELISA-positivity rates for these specimens were highest in *An. gambiae* s.s. and *An. funestus*, whereas the lowest rate was found in *An. arabiensis* (Figure 3).

**Historical comparison.** In our calibration of the collection rates of BNT relative to HLC, BNT sampling produced similar numbers of *An. funestus* relative to HLC sampling, but fewer *An. gambiae* s.s. were collected from BNT relative to HLC (Table 3). The relative collection rates shown in Table 3 were calculated using negative binomial regression. *P* values indicate the probability that the relative collection rate of BNT sampling was not different from that of HLC. *HLC* was used as the reference sampling method. *NA* = not applicable.

![Figure 3](image-url)  
**Figure 3.** Number of *Anopheles* females collected per collector per night during human landing catch sampling shown by species and location (indoors/outdoors). Error bars are 95% confidence intervals. *Plasmodium falciparum* sporozoite rates as determined by enzyme-linked immunosorbent assays for specimens collected both indoors and outdoors are shown as percentages above each species. *Anopheles gambiae* s.s. specimens were identified as members of the *An. gambiae* species complex according to morphological methods but could not be further differentiated by polymerase chain reaction.
were used to calibrate the 1993–1997 BNT to HLC for comparison to the 2011 HLC (Figure 4B). According to Wong and colleagues\textsuperscript{25} collections of both An. funestus and An. gambiae s.l. were lower from LT relative to HLC in Rarieda District. The collection rate of An. funestus from LT relative to HLC was 0.69 (95% CI: 0.49–0.98), whereas the relative collection rate of An. gambiae s.l. was 0.76 (95% CI: 0.61–0.96).\textsuperscript{25} These relative collection rates were used to calibrate the 2002–2008 LT to HLC for comparison to the 2011 HLC (Figure 4B).

Before the introduction of ITNs in Asembo, the populations of both An. funestus and An. gambiae s.l. were large according to BNT sampling (Figure 4). Few An. funestus were collected in Asembo using LT from 2002 through 2008. For the months of June and July, which historically were the months when An. funestus populations peaked, zero An. funestus were collected in 2 years from the period, and never were more than 0.17 An. funestus per trap collected. During the same sampling period, the monthly mean of An. gambiae s.l. collected per trap ranged from 0.13 to 1.53 with a mean of 0.75 (Figure 4).

**Insecticide bioassays.** Figure 5 depicts mortality to bendiocarb, deltamethrin, and permethrin in wild-caught An. gambiae
reduce the effectiveness of ITNs is widely recognized, and the appearance of pyrethroid resistance in populations of *An. funestus* after IRS in South Africa underscores that potential for this malaria vector. A recent review by Coetzee and Koekemoer covers the extent to which insecticide resistance in *An. funestus* is currently understood. Varying degrees of resistance have been reported across many regions of Africa, notably including Tororo District in eastern Uganda near the Kenyan border. In addition to the reemergence of *An. funestus* in the 1990s after evolving pyrethroid resistance in South Africa, the development of insecticide resistance in other malaria vectors during the Global Malaria Eradication Program provides numerous examples of the detrimental effects of insecticide resistance on malaria control. Thus, the reemergence of *An. funestus* in this region of high ITN and LLIN coverage indicates the need to further investigate the resistance characteristics of this population.

*Anopheles funestus* and *An. gambiae* s.s. were found here to have taken their blood meals almost exclusively from humans, highlighting one factor contributing to their high vectorial capacity, a measure of the rate at which a vector population transmits malaria. Similar to *An. gambiae* s.s., the presence of ITNs or LLINs appears not to shift *An. funestus* host selection away from humans. *Anopheles arabiensis* took a larger proportion of blood meals from cattle than did either *An. gambiae* s.s. or *An. funestus*, but *An. arabiensis* also took blood meals from humans, showing broader variation in feeding behaviors typical of *An. arabiensis*. Overall, the results from this blood meal analysis emphasize the need for additional vector control methods beyond the indoor, insecticide-based strategies that target only highly anthropophilic vectors preferring late night, indoor feeding.

The high rate of sporozoite ELISA-positive females collected during both PSC and HLC sampling implies a substantial infective human reservoir of malaria in Asembo, corresponding with recent measures of *P. falciparum* prevalence in the region. We found the highest ELISA-positivity rates in *An. gambiae* s.s. females, implying the continued importance of this vector to malaria transmission in the region. Similar high ELISA-positivity rates were found in *An. funestus*, further indicating its reemergence as one of the primary malaria vectors in Asembo. The relatively lower rates of ELISA-positive *An. arabiensis* females during this study agree with findings from before the introduction of ITNs suggesting it has a lower vectorial capacity in the region than *An. gambiae* s.s. and *An. funestus*.

One limitation of this study is the lack of a consistent sampling method over the years, and interpretations of changing vector abundances should be viewed with this caveat. We attempted to mitigate this problem by using calibrations of each collection method to HLC that were done in 1993 (BNT versus HLC) and 2009 (LT versus HLC). Calibrating the relative rate of other methods to HLC is limited in part by the population targeted by the sampling method (e.g., host-seeking versus blood-fed females), but the three sampling methods used in this study to compare *Anopheles* female abundances across time (BNT, LT, and HLC) all target host-seeking females at night. Calibration of other methods to HLC is also limited by spatial variation in mosquito populations’ response to different sampling methods, even among districts in western Kenya, and possibly by temporal changes in mosquito behavior. However, all of our collections were

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**DISCUSSION**

*Anopheles funestus* was the dominant species collected in all three of our sampling efforts in 2010 and 2011, comprising between one-third and three-quarters of the female *Anopheles* collected (Table 1; Figure 3). Historically, *An. funestus* was common in our study region, and it remained abundant until the introduction of ITNs in the late 1990s (Figure 4). Following ITN distribution, *An. funestus* became rare and likely played a minor role in malaria transmission in the period thereafter as ITN coverage increased. The population of *An. funestus* in Asembo remained low through 2008 according to the LT sampling reported here (Figure 4), but our sampling in 2010 and 2011 indicates a marked reversal of this trend. Although *An. funestus* numbers have not reached the levels observed before the introduction of ITNs, the reemergence of this species has important implications for malaria transmission, which has increased recently despite widespread distribution of ITNs and LLINs.

The low mortality rate of *An. funestus* collected from Asembo when exposed to the pyrethroids used in ITNs and LLINs (Figure 5) supports one possible mechanism by which the *An. funestus* population in the region has been able to increase, though a more extensive analysis is necessary to determine the scope of insecticide resistance in *An. funestus* populations within western Kenya. Other potential hypotheses to explain the reemergence of *An. funestus* in Asembo may include changes in bed net use within LLIN-owning households, socio-economic changes, or land use–land cover changes. However, the potential for insecticide resistance to
done in the Asembo area of western Kenya, and we limited our comparisons to the months of the year for which we had data collected by all three collection methods. Despite these precautions, the calibrations are unlikely to have completely eliminated the potential differences among our sampling methods. However, the observed changes in An. funestus numbers between 2002–2008 and 2010–2011 were large. Although LT undersampled An. funestus relative to HLC.25 the different sensitivities of these collection methods are unlikely to account for the differences observed between these years. Thus, the data strongly suggest that An. funestus has reemerged over this time period.

The high proportion of An. funestus relative to An. arabiensis and An. gambiae in our indoor resting and human landing samples is an important indication of changes to malaria transmission in this region, and shows how our most efficient and widely implemented malaria control interventions may have important limitations. Anopheles funestus is well known as an efficient malaria vector because of near 100% anthropophily, which was confirmed in this study along with high sporozoite rates. The distribution of ITNs in Asembo in the 1990s significantly impacted An. funestus, and collections of An. funestus in the region had remained low until at least 2008. The increased abundance of An. funestus reported in this study suggests a reemergence of this species as a primary malaria vector in western Kenya, and might be explained by the development of insecticide resistance. Public health officials here and throughout regions where ITNs or IRS are deployed should be aware of changes in malaria vector populations, especially as our findings indicate reduced effectiveness of ITNs as a long-term, stand-alone method for malaria control. The GMEP of the 1950–60s revealed the potential dangers of relying on a single method of vector control, as malaria transmission intensified in many areas where significant gains had been made previously. Strategies to attenuate insecticide resistance development and complementary control methods for integrated vector management are likely to have important limitations.

REFERENCES
